This Page Is Inserted by IFW Operations and is not a part of the Official Record

BEST AVAILABLE IMAGES

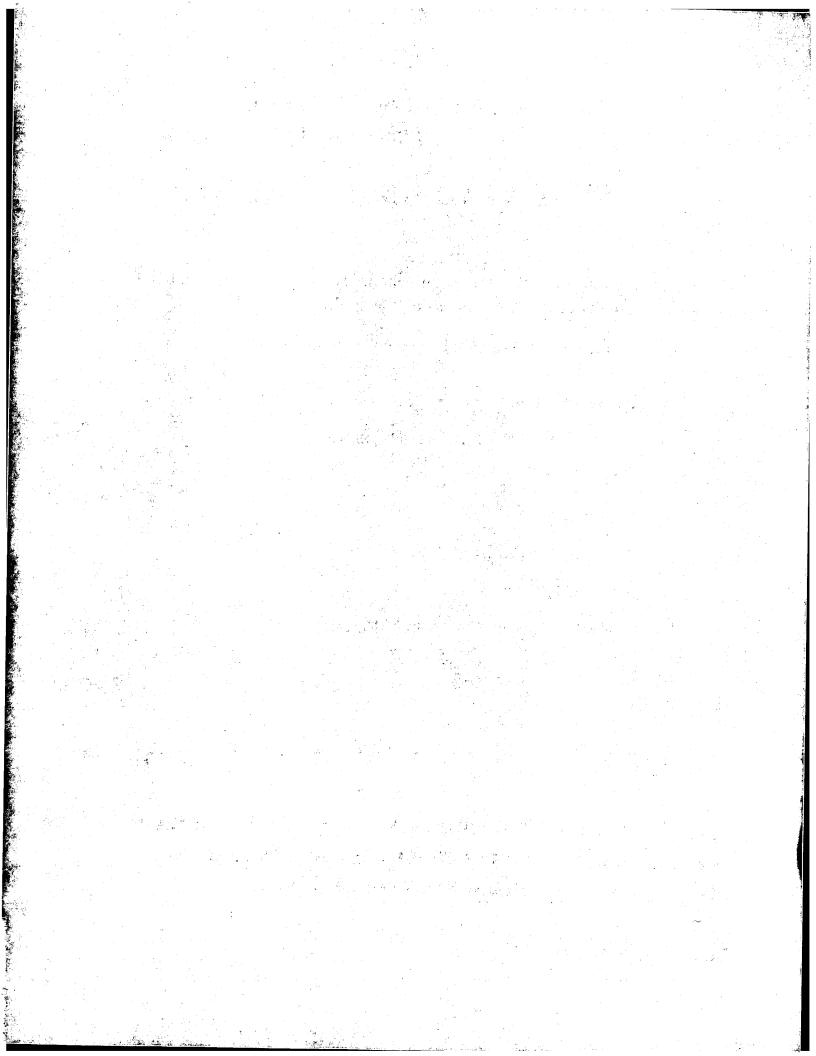
Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.









CIPO

CANADIAN INTELESCIUAL PROPERTY OFFICE

(21) (A1) 2,240,609

(22) 1998/08/11 (43) 1999/10/14

(72) NICOLAIDES, Nicholas, US

(72) VOGELSTEIN, Bert, US,

(72) KINZLER, Kenneth W., US

(71) THE JOHNS HOPKINS UNIVERSITY, US

(51) Int.Cl.6 C12N 5/10, C12N 15/85, C12Q 1/68, G01N 33/68, A01K 67/027

(30) 1998/04/14 (09/059,461) US

(54) METHODE DE CREATION D'ORGANISMES HYPERMUTABLES

(54) A METHOD FOR GENERATING HYPERMUTABLE ORGANISMS

(57) Dominant negative alleles of human mismatch repair genes can be used to generate hypermutable cells and organisms. By introducing these genes into cells and transgenic animals, new cell lines and animal varieties with novel and useful properties can be prepared more afficiently than by relying on the natural rate of mutation.

Industrie Canada Industry Canada

A METHOD FOR GENERATING HYPERMUTABLE ORGANISMS

This invention was made using a U.S. government grant from the NIH (CA43460). Therefore, the U.S. government retains certain rights to the invention.

5 TECHNICAL FIELD OF THE INVENTION

The invention is related to the area of mismatch repair genes. In particular it is related to the field of mutagenesis.

BACKGROUND OF THE INVENTION

Within the past four years, the genetic cause of the Hereditary Nonpolyposis Colorectal Cancer Syndrome (HNPCC), also known as Lynch syndrome II, has been ascertained for the majority of kindreds affected with the disease (13). The molecular basis of HNPCC involves genetic instability resulting from defective mismatch repair (MMR). To date, six genes have been identified in humans that encode for proteins and appear to participate in the MMR process, including the *mutS* homologs *GT3P*, *hMSH2*, and *hMSH3* and the *mutL* homologs *hMLH1*, *hPMS1*, and *hPMS2* (2,7,11,17,20,21,22, 24). Germline mutations in four of these genes (*hMSH2*, *hMLH1*, *hPMS1*, and *hPMS2*) have been identified in HNPCC

10

kindreds (2,11,13,17,24). Though the mutator defect that arises from the MMR deficiency can affect any DNA sequence, microsatellite sequences are particularly sensitive to MMR abnormalities (14). Microsatellite instability is therefore a useful indicator of defective MMR. In addition to its occurrence in virtually all tumors arising in HNPCC patients, Microsattelite instability is found in a small fraction of sporadic tumors with distinctive molecular and phenotypic properties (27).

HNPCC is inherited in an autosomal dominant fashion, so that the normal cells of affected family members contain one mutant allele of the relevant MMR gene (inherited from an affected parent) and one wild-type allele (inherited from the unaffected parent). During the early stages of tumor development, however, the wild-type allele is inactivated through a somatic mutation, leaving the cell with no functional MMR gene and resulting in a profound defect in MMR activity. Because a somatic mutation in addition to a germ-line mutation is required to generate defective MMR in the tumor cells, this mechanism is generally referred to as one involving "two hits," analogous to the biallelic inactivation of tumor suppressor genes that initiate other hereditary cancers (11,13,25). In line with this two-hit mechanism, the non-neoplastic cells of HNPCC patients generally retain near normal levels of MMR activity due to the presence of the wild-type allele.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a method for rendering cells hypermutable.

It is another object of the present invention to provide genetically altered cell lines.

It is yet another object of the present invention to provide a method to produce transgenic animals that are hypermutable.

It is also an object of the present invention to provide genetically altered transgenic animals.

25

5

10

15

It is a further object of the invention to provide a method of mutating a gene of interest in a cell.

Yet another object of the invention is to provide a method of mutating a gene of interest in an animal.

5

These and other objects of the invention are provided by one or more of the embodiments described below. In one embodiment of the invention, a method for making a hypermutable cell is provided. A polynucleotide encoding a dominant negative allele of a mismatch repair gene is introduced into a cell. The cell becomes hypermutable as a result of the introduction of the gene.

10

In another embodiment of the invention, an isolated hypermutable cell is provided. The cell comprises a dominant negative allele of a mismatch repair gene.

15

In another embodiment of the invention, a hypermutable transgenic animal is provided. The animal comprises a dominant negative allele of a mismatch repair gene.

20

In another embodiment of the invention, a method is provided for introducing a mutation into a gene of interest. A polynucleotide encoding a dominant negative allele of a mismatch repair gene is introduced into a cell. The cell becomes hypermutable as a result of the introduction of the gene. The cell further comprises a gene of interest. The cell is grown. The cell is tested to determine whether the gene of interest harbors a mutation.

25

In another embodiment of the invention, a method is provided for generating a mutation in a gene of interest. A transgenic animal comprising a polynucleotide encoding a dominant negative allele of a mismatch repair gene is grown. The animal comprises a gene of interest. The animal is tested to determine whether the gene of interest harbors a mutation.

These and other embodiments of the invention provide the art with methods that can generate enhanced mutability in cells and animals as well as providing cells and animals harboring potentially useful mutations.

1.5

BRIEF DESCRIPTION OF THE DRAWINGS

various PMS2 effector genes.

Figure 1. Diagrams of *PMS2* expression vectors (Fig. 1A) and pCAR reporters (Fig. 1B).

5

10

15

Figure 2. SH cells co-transfected with pCAR reporters and PMS2 expression vectors after 17 days of drug selection. (Fig. 2A) Western blots of lysates from untransfected SH cells (lane 1) or SH cells transfected with PMS2-NOT (lane 2) or PMS2-WT (lane 3). The arrow indicates the 110 kD protein expected for hPMS2. (Fig. 2B) Western blots of lysates from untransfected SH cells (lane 1) or SH cells transfected with PMS2-NOT (lane 2) or PMS2-134 (lane 3). The arrow indicates the 14 kD protein expected for hPMS-134. Both A and B were probed with an antibody generated against the Nterminus of hPMS2. The upper polypeptides in A and the lower polypeptides in B represent cross-reactive hamster proteins. (Fig. 2C)β-galactosidase activity in lysates derived from SH cells co-transfected with PMS2-NOT (lane 1), PMS2-WT (lane 2), or PMS2-134 (lane 3) plus reporter plasmid. Relative β -galactosidase activities are defined as the ratio of \(\beta \)-galactosidase activity in cells transfected with pCAR-OF compared to that in cells transfected with pCAR-IF; this normalization controlled for transfection efficiency and controlled for β -galactosidase activity in the cells expressing the

20

Figure 3. In situ β -galactosidase activity of pooled clones of SH cells stably transduced with the *PMS2-NOT* (Fig. 3A), *PMS2-WT* (Fig. 3B), or *PMS2-134* (Fig. 3C) expression vectors, then re-transfected with pCAR-OF reporter. After 17 days of drug selection, the colonies were pooled, cultured, and stained for β -galactosidase activity. A pooled cultur of of

PMS2-134 transduced SH cells expressing β-galactosidase from pCAR-OF

25

30

BNSDOCID: <CA 2240609A1 1 >

is visible in Fig. 3C. The level of expression is lower, as expected, than in SH cells transfected with the pCAR-IF reporter plasmid, shown as a positive control in Fig. 3D. Each of the fields illustrated is representative of that found in triplicate experiments.

5

10

Figure 4. Protein expression and β -galactosidase activity in stably transduced SH clones. (Fig. 4A) Western blots of lysates from clones stably transduced with PMS2-NOT (lanes 1-3) or PMS2-WT (lanes 4-6). (Fig. 4B) Western blots of lysates from clones stably transduced with PMS2-NOT (lanes 1-3) or PMS2-134 (lanes 4-6). (The arrows indicate the polypeptide of the appropriate molecular weight. The upper (Fig. 4A) and lower (Fig. 4B) molecular weight polypeptides are nonspecific proteins. (Fig. 4C) The clones expressing PMS2-NOT (lane 1A-3A), PMS2-WT (lanes 1B-3B), or PMS2-134 (lanes 1C-3C) were transduced with pCAR-OF or pCAR-IF reporter plasmids and multiple subclones selected in hygromycin plus geneticin were harvested 17 days later and assayed for β -galactosidase activity. Relative β -galactosidase activities are defined as the ratio of β-galactosidase activity in cells transduced with pCAR-OF

15

compared to that in cells transduced with pCAR-IF.

20

Figure 5. Immunoprecipitation of in vitro translated hPMS2 and hMLH1 proteins. (Fig. 5A) Labelled (indicated by an asterisk) or unlabelled proteins were incubated with an antibody to the C-terminus of hPMS2 in lanes 1-3 and to hMLH1 in lanes 4-6. Lane 7 contains a nonprogrammed reticulocyte lysate. The PMS-135 contains codons 135-862 of hPMS2. The major translation products of hPMS2 and hMLH1 are indicated. (Fig. 5B) Labelled hPMS-134 (containing codons 1-134 of hPMS2) was incubated in the presense or absence of unlabelled hMLH1 plus an antibody to hMLH1 (lanes 1 and 2, respectively). Lane 3 contains lysate from a nonprogrammed reticulolysate. (Fig. 5C) Labelled proteins were incubated with an antibody to the N-terminus of hPMS2. Lane 6 contains a nonprogrammed

25

reticulocyte lysate. In both Fig. 5A and Fig. 5B, autoradiographs of immunoprecipitated products are shown.

Figure 6. Complementation of MMR activity in transduced SH cells. Lysates from pooled clones stably transduced with PMS2-NOT, PMS2-WT, or PMS2-134 were complemented with purified $MutS\alpha$ or $MutL\alpha$ MMR components using the 5'G/T heteroduplex substrate. The values are presented as the percentage of repair activity in each case compared to that in lysates complemented with both purified $MutL\alpha$ and $MutS\alpha$ components to normalize for repair efficiency in the different lysate backgrounds. The values shown represent the average of at least three different determinations.

DETAILED DESCRIPTION OF THE INVENTION

The inventors have discovered a method for developing hypermutable cells and animals by taking advantage of newly discovered alleles of human mismatch repair genes. Dominant negative alleles of such genes, when introduced into cells or transgenic animals, increase the rate of spontaneous mutations by reducing the effectiveness of DNA repair and thereby render the cells or animals hypermutable. Hypermutable cells or animals can then be utilized to develop new mutations in a gene of interest.

The process of mismatch repair, also called mismatch proofreading, is carried out by protein complexes in cells ranging from bacteria to mammalian cells. A mismatch repair gene is a gene that encodes one of the proteins of such a mismatch repair complex. Although not wanting to be bound by any particular theory of mechanism of action, a mismatch repair complex is believed to detect distortions of the DNA helix resulting from non-complementary pairing f nucleotide bases. The non-complementary base on the newer DNA strand is excised, and the excised base is replaced with the appropriate base which is complementary to the older DNA strand. In this way, cells eliminate many mutations which occur as a result of mistakes in DNA replication.

25

20

5

10

15

Dominant negative alleles cause a mismatch repair defective phenotype even in the presence of a wild-type allele in the same cell. An example of a dominant negative allele of a mismatch repair gene is the human gene hPMS2-134, which carries a truncation mutation at codon 134. The mutation causes the product of this gene to abnormally terminate at the position of the 134th amino acid, resulting in a shortened polypeptide containing the N-terminal 133 amino acids. Such a mutation causes an increase in the rate of mutations which accumulate in cells after DNA replication. Expression of a dominant negative allele of a mismatch repair gene results in impairment of mismatch repair activity, even in the presence of the wild-type allele. Any allele which produces such effect can be used in this invention.

Dominant negative alleles of a mismatch repair gene can be obtained from the cells of humans, animals, yeast, bacteria, or other organisms. Such alleles can be identified by screening cells for defective mismatch repair activity. The cells may be mutagenized or not. Cells from animals or humans with cancer can be screened for defective mismatch repair. Cells from colon cancer patients may be particularly useful. Genomic DNA, cDNA, or mRNA from any cell encoding a mismatch repair protein can be analyzed for variations from the wild type sequence. Dominant negative alleles of a mismatch repair gene can also be created artificially, for example, by producing variants of the hPMS2-134 allele or other mismatch repair genes. Various techniques of site-directed mutagenesis can be used. The suitability of such alleles, whether natural or artificial, for use in generating hypermutable cells or animals can be evaluated by testing the mismatch repair activity caused by the allele in the presence of one or more wild-type alleles, to determine if it is a dominant negative allele.

A cell or an animal into which a dominant negative allele of a mismatch repair gene has been introduced will become hypermutable. This means that the spontaneous mutation rate of such cells or animals is elevated compared to cells or animals without such alleles. The degree of elevation

30

5

10

15

20

of the spontaneous mutation rate can be at least 2-fold, 5-fold, 10-fold, 20-fold, 50-fold, 100-fold, 200-fold, or 1000-fold that of the normal cell or animal.

According to one aspect of the invention, a polynucleotide encoding a dominant negative form of a mismatch repair protein is introduced into a cell or a transgenic animal. The gene can be any dominant negative allele encoding a protein which is part of a mismatch repair complex, for example, PMS2, PMS1, MLH1, or MSH2. The dominant negative allele can be naturally occurring or made in the laboratory. The polynucleotide can be in the form of genomic DNA, cDNA, RNA, or a chemically synthesized polynucleotide. The polynucleotide can be introduced into the cell by

Transfection is any process whereby a polynucleotide is introduced into a cell. The process of transfection can be carried out in a living animal, e.g., using a vector for gene therapy, or it can be carried out in vitro, e.g., using a suspension of one or more isolated cells in culture. The cell can be any type of eukaryotic cell, including, for example, cells isolated from humans or other primates, mammals or other vertebrates, invertebrates, and single celled organisms such as protozoa or yeast.

Œ

3

In general, transfection will be carried out using a suspension of cells, or a single cell, but other methods can also be applied as long as a sufficient fraction of the treated cells or tissue incorporates the polynucleotide so as to allow transfected cells to be grown and utilized. The protein product of the polynucleotide may be transiently or stably expressed in the cell. Techniques for transfection are well known. Available techniques for introducing polynucleotides include but are not limited to electroporation, transduction, cell fusion, the use of calcium chloride, and packaging of the polynucleotide together with lipid for fusion with the cells of interest. Once a cell has been transfected with the mismatch repair gene, the cell can be grown and reproduced in culture. If the transfection is stable,

20

15

5

10

transfection.

25

such that the gene is expressed at a consistent level for many cell generations, then a cell line results.

An isolated cell is a cell obtained from a tissue of humans or animals by mechanically separating out individual cells and transferring them to a suitable cell culture medium, either with or without pretreatment of the tissue with enzymes, e.g., collagenase or trypsin. Such isolated cells are typically cultured in the absence of other types of cells. Cells selected for the introduction of a dominant negative allele of a mismatch repair gene may be derived from a eukaryotic organism in the form of a primary cell culture or an immortalized cell line, or may be derived from suspensions of single-celled organisms.

A polynucleotide encoding a dominant negative form of a mismatch repair protein can be introduced into the genome of an animal by producing a transgenic animal. The animal can be any species for which suitable techniques are available to produce transgenic animals. For example, transgenic animals can be prepared from domestic livestock, e.g., cows, pigs, sheep, goats, horses, etc.; from animals used for the production of recombinant proteins, e.g., cows, pigs, or goats that express a recombinant protein in their milk; or experimental animals for research or product testing, e.g., mice, rats, hamsters, guinea pigs, rabbits, etc.

Any method for making transgenic animals known in the art can be used. According to one process of producing a transgenic animal, the polynucleotide is injected into a fertilized egg of the animal and the injected egg is placed into a pseudo-pregnant female. The egg develops into a mature animal in which the polynucleotide is incorporated and expressed. The fertilized egg is produced *in vitro* from the egg and sperm of donor animals of the same species as the pseudo-pregnant female, who is prepared by hormone treatments to receive the fertilized egg and become pregnant. An alternative method for producing transgenic animals involves introducing the polynucleotide into embryonic cells by injection or transfection and reintroducing the embryonic cells into the developing embryo. With this

25

5

10

15

20

method, however, if the polynucleotide is not incorporated into germline cells, the gene will not be passed on to the progeny. Therefore, a transgenic animal produced by this method must be evaluated to determine whether the gene is incorporated into germ cells of the animal. Once transgenic animals are produced, they can be grown to reproductive age, when they can be mated to produce and maintain a colony of transgenic animals.

Once a transfected cell line or a colony of transgenic animals has been produced, it can be used to generate new mutations in one or more gene(s) of interest. A gene of interest can be any gene naturally possessed by the cell line or transgenic animal or introduced into the cell line or transgenic animal. An advantage of using such cells or animals to induce mutations is that the cell or animal need not be exposed to mutagenic chemicals or radiation, which may have secondary harmful effects, both on the object of the exposure and on the workers.

Mutations can be detected by analyzing for alterations in the genotype of the cells or animals, for example by examining the sequence of genomic DNA, cDNA, messenger RNA, or amino acids associated with the gene of interest. Mutations can also be detected by screening the phenotype of the gene. A mutant phenotype can be detected by identifying alterations in electrophoretic mobility, spectroscopic properties, or other physical or structural characteristics of a protein encoded by a mutant gene. One can also screen for altered function of the protein *in situ*, in isolated form, or in model systems. One can screen for alteration of any property of the cell or animal associated with the function of the gene of interest.

EJ.

1.5

The above disclosure generally describes the present invention. A more complete understanding can be obtained by reference to the following specific examples which are pr vided herein for purposes of illustration only, and are not intended to limit the scope of the invention.

15

5

10

20

EXAMPLE 1: hPMS2-134 Encodes a Dominant Negative Mismatch Repair Protein.

A profound defect in MMR was found in the normal cells of two HNPCC patients. That this defect was operative in vivo was demonstrated by the widespread presence of microsattelite instability in non-neoplastic cells of such patients. One of the two patients had a germ-line truncating mutation of the hPMS2 gene at codon 134 (the hPMS2-134 mutation), while the other patient had a small germ-line deletion within the hMLH1 gene (26). These data thus contradicted the two-hit model generally believed to explain the biochemical and biological features of HNPCC patients. The basis for this MMR deficiency in the normal cells of these patients was unclear, and several potential explanations were offered. For example, it was possible that the second allele of the relevant MMR gene was inactivated in the germ-line of these patients through an undiscovered mechanism, or that unknown mutations of other genes involved in the MMR process were present that cooperated with the known germ-line mutation. It is clear from knock-out experiments in mice that MMR-deficiency is compatible with normal growth and development, supporting these possibilities (1,3,6). Alternatively, it was possible that the mutant alleles exerted a dominant negative effect, resulting in MMR deficiency even in the presence of the wild-type allele of the corresponding MMR gene and all other genes involved in the MMR process. To distinguish between these possibilities, we expressed the truncated polypeptide encoded by the hPMS2-134 mutation in an MMR proficient cell line and analyzed its affect on the cell's MMR activity. The results showed that this mutant could indeed exert a dominant negative effect, resulting in biochemical and genetic manifestations of MMR deficiency.

The MMR proficient Syrian hamster TK'ts13 cell line (hereafter called SH cells) was cotransfected with various hPMS2 expression plasmids plus reporter constructs for assessing MMR activity. The hPMS2 expression plasmids contained the normal hPMS2 gene product or the

30

5

10

15

20

truncated hPMS2 gene identified in the patient described above (PMS2-WT and PMS2-134, respectively, Fig. 1A). An "empty" vector devoid of hPMS2 sequences (PMS2-NOT, Fig. 1A) served as an additional control. The reporter construct pCAR-OF (out of frame) contained a hygromycin resistance gene plus a β -galactosidase gene containing a 29 bp out-of-frame poly-CA tract at the 5' end of its coding region. The reporter construct pCAR-IF (in frame) was identical except that the poly-CA tract was 27 bp and therefore did not disrupt the β -galactosidase reading frame (Fig. 1B). The pCAR-OF reporter would not generate β -galactosidase activity unless a frame-restoring mutation (i.e., insertion or deletion) arose following transfection.

Three different transfection schemes were used to evaluate the effects of the PMS2-134 mutation on SH cells. In the first scheme, the expression vectors plus the reporters were co-transfected together. Pools containing greater than 100 clones were generated following selection with hygromycin for 17 days and harvested for Western blot and β -galactosidase assays. SH cells transduced with PMS2-WT and PMS2-134 synthesized polypeptides of the expected size, as assessed with anti-hPMS2 antibodies on Western blots (Fig. 2A and 2B). As expected, virtually no β -galactosidase activity was observed in SH cells transfected with the pCAR-OF reporter plus PMS2-NOT (Fig. 2C). However, SH cells transfected with PMS2-134 expressed considerable β -galactosidase activity, significantly more than those transfected with PMS2-WT (Fig. 2C). These results suggested that the truncated polypeptide encoded by the PMS2-134 construct perturbs the endogenous MMR machinery, resulting in deletions or insertions that restored the reading frame. The exact nature of these presumed deletions or insertions could not be assessed, as multiple copies of the reporter constructs were transduced under our conditions, and the wild type β -galactosidase sequence was in great

25

5

10

15

20

excess over the expected mutants, precluding their demonstration by direct sequencing.

In the second scheme, SH cells were co-transfected with each of the PMS2 expression vectors plus the hygromycin-resistance plasmid pLHLA. Hygromycin resistant cultures containing greater than 100 clones were pooled and expanded. These cultures were then co-transfected with pCAR-IF or pCAR-OF reporters plus a separate plasmid allowing geneticin selection. Two weeks later, the pooled cells, each containing more than 100 colonies resistant to both hygromycin and geneticin, were stained with X-gal to assess B-galactosidase activity. As shown in Figure 3, the cultures transfected with PMS2-134 (panel C) contained many blue cells, while virtually no cells were blue in the cultures transfected with PMS2-NOT or PMS2-WT (panels A and B, respectively). In each case, transfection efficiency was controlled by parallel transfections using pCAR-IF which also served as a control for β-galactosidase activity of cells expressing the various PMS2 effector genes, which resulted in similar β-galactosidase expression levels in all cases (example in Fig. 3D). Increases in β-galactosidase activity after PMS2-134 transfection compared to PMS2-WT transfection were also observed when a similar experimental protocol was applied to the MMR-proficient human embryonic kidney cell line 293. These cells were cotransfected with the pCAR-OF plus the various PMS2 effector plasmids and selected for 17 days in hygromycin. At day 17, colonies were stained with X-gal to assess β-galactosidase activity and scored for B-galactosidase expressing cells. As shown in Table 1, only those cells expressing the PMS2-134 polypeptide expressed a detectable β-galactosidase activity. These data demonstrate a similar dominant negative ffect of the hPMS2-134 protein in both rodent and human systems and validate the utility of the rodent system in these studies.

30

5

10

15

20

In the third scheme, SH cells were transfected with each of the PMS2 expression vectors as described for the second scheme, but individual clones, rather than pooled clones, were expanded following drug selection. Of twenty clones transfected with PMS2-WT, five were shown to express readily detectable levels of full-length PMS2 proteins (examples in Fig. 4A, lanes 4-6). Similar analyses of twenty PMS2-134 clones revealed four clones which expressed truncated PMS2 polypeptides of the expected size (examples in Figure 4B, lanes 4-6). Three clones expressing full-length or truncated PMS2 proteins, as well as three randomly selected clones from PMS2-NOT transfected cells (Figure 4A and 4B, lanes 1-3) were chosen for further analysis. The individual clones were tested for β -galactosidase activity following co-transfection with pCAR-OF plus the pNTK plasmid, as described above for the pooled clones. As shown in Figure 4C, each of the three clones (lanes 3A-3C) expressing the truncated hPMS2 polypeptide yielded much higher β -galactosidase activities following transfection with pCAR-OF than did the clones expressing the full-length hPMS2 protein (lanes 2A-2C) or no hPMS2 protein (lanes 1A-1C).

20

15

5

Table 1. β-galactosidase expression of 293 clones transfected with pCAR-OF reporter construct plus PMS2 effector plasmids. 293 cells were cotransfected with the pCAR-OF β-galactosidase reporter plasmid plus the PMS2-NOT, -WT, or -134 effector plasmids. Transfected cells were selected in hygromycin for 17 days and stained with x-gal for β-galactosidase activity (blue colored cells). The results below represent the mean +/- standard deviation of triplicate experiments.

10	<u>Sample</u>	Blue colonies	White colonies		
	PMS2-NOT	0 +/- 0	17 +/- 2.7		
	PMS2-WT	0 +/- 0	18 +/- 4.0		
	PMS2-134	15 +/- 2.1	6 +/- 2.1		

15

Plasmids. The full-length wild-type hPMS2 cDNA was obtained from a human Hela cDNA library as described (18). An hPMS2 cDNA containing a termination codon at amino acid 134 was obtained via RT-PCR from the patient in which the mutation was discovered (9). The cDNA fragments were cloned into the BamHI site into the pSG5 vector, which contains an SV40 promoter followed by an SV40 polyadenylation signal (8). The pCAR reporter vectors described in Fig. 1 were constructed as described in ref. 21 and 25.

Cell lines and transfection. Syrian Hamster fibroblast Tk'ts13 cells were obtained from ATCC and cultured as described (15). Stably transfected cell lines expressing hPMS2 were created by cotransfection of the PMS2 expression vectors and the pLHL4 plasmid encoding the hygromycin resistance gene at a ratio of 3:1 (pCAR:pLHL4) and selected with hygromycin. Stably transfected cell lines containing pCAR reporters were generated by co-transfection of pCAR vectors together with either pNTK plasmid encoding the neomycin resistance plasmid or with pLHL4. All transfections were performed using calcium phosphate as previously described (15).

β-galactosidase assay. Seventeen days following transfection with pCAR, β-galactosidase assays were performed using 20 μg of protein in 45 mM 2-mercaptoethanol, 1mM MgCl₂, 0.1 M NaPO₄ and 0.6 mg/ml Chlorophenol red-β-D-galatopyranoside (CPRG, Boehringer Mannheim). Reactions were incubated for 1 hour, terminated by the addition of 0.5 M Na₂CO₃, and analyzed by spectrophotometry at 576 nm (16). For *in situ* β-galactosidase staining, cells were fixed in 1% glutaraldehyde in PBS and incubated in 0.15 M NaCl, 1 mM MgCl₂, 3.3 mM K₄Fe(CN)₆, 3.3 mM K₃Fe(CN)₆, 0.2% X-Gal for 2 hours at 37°C.

10

5

15

20

EXAMPLE 2: hPMS2-134 Causes a Defect in MMR Activity

The most likely explanation for the differences in β-galactosidase activity between PMS2-WT and PMS2-134 transfected cells was that the PMS2-134 protein disturbed MMR activity, resulting in a higher frequency of mutation within the pCAR-OF reporter and re-establishing the ORF. To directly test the hypothesis that MMR was altered, we employed a biochemical assay for MMR with the individual clones described in Fig. 4. Nuclear extracts were prepared from the clones and incubated with heteroduplex substrates containing either a /CA\ insertion-deletion or a G/T mismatch under conditions described previously. The /CA\ and G/T heteroduplexes were used to test repair from the 3' and 5' directions, respectively. There was a dramatic difference between the PMS2-134 expressing clones and the other clones in these assays (Table 2A). While all clones repaired substrates from the 3' direction (/CA\ heteroduplex), cells expressing the PMS2-134 polypeptide had very little 5' repair activity. A similar directional defect in mismatch repair was evident with pooled clones resulting from PMS2-134 transfection, or when the heteroduplex contained a 2-4 base pair loop, examples of which are shown in Table 2B. A small decrease in MMR activity was observed in the 3' /CA\ PMS2-WT repair assays, perhaps a result of interference in the biochemical assays by overexpression of the PMS2 protein. No significant activity was caused by PMS2-WT in the in situ β-galactosidase assays (Fig. 3; Table 1), a result more likely to reflect the in vivo condition.

25

5

10

15

Table 2. Mismatch repair activity of nuclear extracts from SH clones (A) or pooled cultures (B). The extracts were tested for MMR activity with 24 fmol of heteroduplex. *These data represent similar results derived from greater than five independent experiments.

5	-				
	A SH clones*	Repaired sul	ostrate (fmol/15	min)	
		3' /C	A\ 5' G/	Т	
10	Cell Line				
	PMS2-NOT				
	clone A	10.2			•
15	clone B	12.7			
13	clone C	13.5	5.5		:
	PMS2-WT				. •
	clone A	2.8	2.2		
20	cione B	5.7	4.8		in the second
20	clone C	4.7	2.9		<u>:</u>
,	PMS2-134			•	•
	clone A	2.5	_		
25	clone B	2.4			
23	clone C	5.0	0.5		
	B. Pooled cultu	res			·
	B. Fooled Cuits	177			
30		Re	paired substrate	e (fmol/15 min)	
		3'G/T	5'G/T	3'/CTG\	5'/CTG\
	Cell Line		•		
35	PMS2-NOT	2.07 +/- 0.09	2.37 +/- 0.37	3.45 +/- 1.35	2.77 +/-1.37
	PMS2-WT	1.65 +/- 0.94	1.86 +/- 0.57	1.13 +/- 0.23	1.23 +/- 0.65
40	PMS2-134	0.14 +/- 0.2	0.0 +/- 0.0	1.31 +/- 0.66	0.0 +/- 0.0

Western blots. Equal number of cells were lysed directly in lysis buffer (60 mM Tris, pH 6.8, 2% SDS, 10% glycerol, 0.1 M 2-mercaptoethanol, 0.001% bromophenol blue) and boiled for 5 minutes. Lysate proteins were separated by electrophoresis on 4-12% Tris-glycine gels (for analysis of full-length hPMS2) or 4-20% Tris-glycine gels (for analysis of hPMS2-134). Gels were electroblotted onto Immobilon-P (Millipore) in 48 mM Tris base, 40 mM glycine, 0.0375% SDS, 20% methanol and blocked overnight at 4°C in Tris-buffered saline plus 0.05% Tween-20 and 5% condensed milk. Filters were probed with a polyclonal antibody generated against residues 2 - 20 of hPMS2 (Santa Cruz Biotechnology, Inc.) and a horseradish peroxidase conjugated goat anti-rabbit secondary antibody, using chemilluminescence for detection (Pierce).

15

5

10

hMLH1 cDNA sequences were prepared by PCR, incorporating sequences for in vitro transcription and translation in the sense primer. A full-length hMLH1 fragment was prepared using the sense primer 5'-ggatcctaatacgactcactatagggaga ccaccatgtcgttcgtggcaggg-3' (codons 1-6) and the antisense primer 5'-taagtcttaagtgctaccaac-3' (located in the 3' untranslated region, nt 2411-2433), using a wild-type hMLH1 cDNA clone as template. A full-length hPMS2 fragment was prepared with the sense primer

In vitro translation. Linear DNA fragments containing hPMS2 and

20

5'-ggatcctaatacgactcactatagggagaccaccatggaacaattgcctgcgg-3' (codons 1- 6) and the antisense primer 5'-aggttagtgaagactctgtc-3' (located in 3' untranslated region, nt 2670-2690) using a cloned hPMS2 cDNA as template. A fragment encoding the amino-terminal 134 amino acids of hPMS2 was prepared using the same sense primer and the antisense primer 5'-agtcgagttccaaccttcg-3. A fragment containing codons 135 - 862 of hPMS135 was generated using the sense primer

25

30

5'-ggatcctaatacgacicactatagggagaccaccatgatgtttgatcacaatgg-3' (codons 135-141) and the same antisense primer as that used for the full-length

hPMS2 protein. These fragments were used to produce proteins via the coupled transcription-translation system (Promega). The reactions were supplemented with ³⁵S-labelled methionine or unlabelled methionine, as indicated in the text. The PMS135 and hMLH1 proteins could not be simultaneously radiolabelled and immunoprecipitated because of their similar molecular weights precluded resolution. Lower molecular weight bands are presumed to be degradation products and/or polypeptides translated from alternative internal methionines.

Immunoprecipitation. Immunoprecipitations were performed on in vitro translated proteins by mixing the translation reactions with 1 μg of the MLH1 specific monoclonal antibody (mAB) MLH14 (Oncogene Science, Inc.), a polyclonal antibody generated to codons 2 - 20 of hPMS2 described above, or a polyclonal antibody generated to codons 843-862 of hPMS2 (Santa Cruz Biotechnology, Inc.) in 400 μl of EBC buffer (50 mM Tris, pH 7.5, 0.1 M NaCl, 0.5% NP40). After incubation for 1 hr at 4°C, protein A sepharose (Sigma) was added to a final concentration of 10% and reactions were incubated at 4°C for 1 hour. Proteins bound to protein A were washed five times in EBC and separated by electrophoresis on 4-20% Tris-glycine gels, which were then dried and autoradiographed.

Ž,

. .

:1. .

Biochemical assays for mismatch repair. MMR activity in nuclear extracts was performed as described, using 24 fmol of substrate (12,25). Complementation assays were done by adding ~ 100 ng of purified MutLα or MutSα components to 100 μg of nuclear extract, adjusting the final KCl concentration to 100 mM (4,10,30). The substrates used in these experiments contain a strand break 181 nucleotides 5' or 125 nucleotides 3' to the mismatch. Values represent experiments performed at least in duplicate.

10

5

15

20

EXAMPLE 3: Carboxy Terminus of hPMS2 Mediates Interaction between hPMS2 and hMLH1

To elucidate the mechanism by which hPMS2-134 affected MMR, we analyzed the interaction between hPMS2 and hMLH1. Previous studies have shown that these two proteins dimerize to form a functionally active complex (12, 28). Proteins were synthesized in vitro using reticulocyte lysates, employing RNA generated from cloned templates. The full-length hMLH1 and hPMS2 proteins bound to each other and were co-precipitated with antibodies to either protein, as expected (data not shown). To determine the domain of hPMS2 which bound to hMLH1, the amino terminus (codons 1 - 134), containing the most highly conserved domain among mutL proteins (19,24), and the carboxyl terminus (codons 135 - 862) were separately cloned and proteins produced in vitro in coupled transcription-translation reactions. When a 35S-labelled, full length hMLH1 protein (Fig. 5A, lane 5) was mixed with the unlabelled carboxyl terminal hPMS2 polypeptide, a monoclonal antibody (mAb) to the carboxyl terminus of hPMS2 efficiently immunoprecipitated the labeled hMLH1 protein (lane 1). No hMLH1 protein was precipitated in the absence of hPMS2 (lane 2). Conversely, when the 35S-labelled carboxyl-terminus of hPMS2 (lane 3) was incubated with unlabelled, full-length hMLH1 protein, an anti-hMLH1 mAb precipitated the hPMS2 polypeptide (lane 4). In the absence of the unlabelled hMLH1 protein, no hPMS2 protein was precipitated by this mAb (lane 6). The same antibody failed to immunoprecipitate the amino-terminus of hPMS2 (amino acids 1-134) when mixed with unlabelled MLH1 protein (Fig. 5B, lane 1). This finding was corroborated by the converse experiment in which radiolabelled hPMS2-134 (Fig. 5C, lane 1) was unable to coprecipitate radiolabelled MLH1 when precipitations were done using an N-terminal hPMS2 antibody (Fig. 5C, lane 2) while this antibody was shown to be able to coprecipitate MLH1 when mixed with wild-type hPMS2 (Fig. 5C, lane 4).

30

25

5

10

15

The initial steps of MMR are dependent on two protein complexes, called MutSa and MutLa (14). As the amino terminus of hPMS2 did not mediate binding of hPMS2 to hMLH1, it was of interest to determine whether it might instead mediate the interaction between the MutLa complex (composed of hMLH1 and hPMS2, ref. 12) and the MutSa complex (composed of MSH2 and GTBP, ref. 4). Because previous studies have demonstrated that MSH2 and the MutLa components do not associate in solution (28), we were unable to assay for direct hPMS2-134:MutSa interaction. We therefore used a different approach to address this issue, and attempted to complement nuclear extracts from the various SH cell lines with MutSa or MutLa. If the truncated protein present in the PMS2-134 expressing SH cells was binding to MutSa and lowering its effective concentration in the extract, then adding intact MutSa should rescue the MMR defect in such extracts. Purified MutSa added to such extracts had no effect (Fig. 6). In contrast, addition of intact MutLa to the extract completely restored directional repair to the extracts from PMS2-134 cells (Fig. 6).

The results described above lead to several conclusions. First, expression of the amino-terminus of hPMS2 results in an increase in microsattelite instability, consistent with a replication error (RER) phenotype. That this elevated microsattelite instability is due to MMR deficiency was proven by evaluation of extracts from stably transduced cells. Interestingly, the expression of PMS2-134 resulted in a polar defect in MMR, which was only observed using heteroduplexes designed to test repair from the 5' direction (no significant defect in repair from the 3' direction was observed in the same extracts). Interestingly, cells deficient in hMLH1 also have a polar defect in MMR, but in this case preferentially affecting repair from the 3' direction (5). It is known from previous studies in both prokaryotes and eukaryotes that the separate enzymatic components mediate repair from the two different directions. Our results, in combination with

25

5

10

15

20

those of Drummond et al., strongly suggest a model in which 5' repair is primarily dependent on hPMS2 while 3' repair is primarily dependent on hMLH1. It is easy to envision how the dimeric complex between PMS2 and MLH1 might set up this directionality. The combined results also demonstrate that a defect in directional MMR is sufficient to produce a RER+ phenotype.

We anticipated that the dominant negative function of the PMS2-134 polypeptide resulted from its binding to MLH1 and consequent inhibition of MutLa function. This hypothesis was based in part on the fact that the most highly conserved domain of the PMS2 gene is located in its amino terminus, and the only known biochemical partner for PMS2 is MLH1. Our binding studies revealed, however, that the carboxyl terminus of PMS2, rather than the highly conserved amino terminus, actually mediated binding to MLH1. This result is consistent with those recently obtained in S. cerevisciae, in which the MLH1-interacting domain of PMS1 (the yeast homolog of human PMS2) was localized to its carboxyl-terminus (23). Our add-back experiments additionally showed that the hPMS2-134 mutant was not likely to mediate an interaction with the MutSa complex (Fig. 6). The best explanation at present to explain the various observations made here is that the hPMS2-134 polypeptide does not inhibit the initial steps in MMR, but rather interacts with and inhibits a downstream component of the pathway, perhaps a nuclease required for repair from the 5' direction.

The demonstration that the hPMS2-134 mutation can confer a dominant negative MMR defect to transfected cells helps to explain the phenotype of the kindred in which this mutant was discovered. Three individuals from this kindred were found to carry the mutation, a father and his two children. Both children exhibited microsattelite instability in their normal tissues and both developed tumors at an early age, while the father had no evidence of microsattelite instability in his normal cells and was completely healthy at age 35. The only difference known to us with respect to the MMR genes in this family is that the father's mutant allele was

5

10

15

20

25

expressed at lower levels than the wild-type allele as assessed by sequencing of reverse transcriptase-PCR products of RNA from lymphocytes. The children expressed both alleles at approximately equal levels (Parsons et al. and unpublished observations). We suspect that the dominant negative attribute of the hPMS2-134 mutant will only be manifest when it is present at sufficient concentrations (at least equimolar), thus explaining the absence of MMR deficiency in the father. The reason for the differential expression of the hPMS2-134 allele in this kindred is not clear, though imprinting is a possibility. Hopefully, the ascertainment of additional, larger kindreds with such mutations will facilitate the investigation of this issue.

10

References

- 1. Baker S.M., Bronner, C.E., Zhang, L., Plug, A.W., Robatez, M., Warren, G., Elliott, E.A., Yu, J., Ashley, T., Arnheim, N., Bradley, N., Flavell, R.A., and Liskay, R.M. 1995. Male defective in the DNA mismatch repair gene PMS2 exhibit abnormal chromosome synapsis in meiosis. Cell 82:309-319.
- Bronner, C.E., Baker, S.M., Morrison, P.T., Warren, G., Smith, L.G., Lescoe, M.K., Kane, M., Earabino, C., Lipford, J., Lindblom, A., Tannergard, P., Bollag, R.J., Godwin, A., R., Ward, D.C., Nordenskjold, M., Fishel, R., Kolodner, R., and Liskay, R.M. 1994. Mutation in the DNA mismatch repair gene homologue hMLH1 is associated with hereditary non-polyposis colon cancer. Nature 368:258-261.
 - 3. de Wind N., Dekker, M., Berns, A., Radman, M., and Riele, H.T. 1995. Inactivation of the mouse *Msh2* gene results in mismatch repair deficiency, methylation tolerance, hyperrecombination, and predisposition to cancer. Cell 82:321-300.
 - 4. Drummond, J.T., Li, G.M., Longley, M.J., and Modrich, P. 1995.

 Isolation of an hMSH2-p160 heterodimer that restores mismatch repair to tumor cells. Science 268:1909-1912.
 - 5. Drummond, J.T., Anthoney, A., Brown, R., and Modrich, P. 1996. Cisplatin and adriamycin resistance are associated with MutLa and mismatch repair deficiency in an ovarian tumor cell line. J.Biol.Chem. 271:9645-19648.
 - Edelmann, W., Cohen, P.E., Kane, M., Lau, K., Morrow, B., Bennett, S., Umar, A., Kunkel, T., Cattoretti, G., Chagnatti, R., Pollard, J.W., Kolodner, R.D., and Kucherlapati, R. 1996. Meiotic pachytene arrest in MLH1-deficient mice. Cell 85:1125-1134.

25

5

10

15

7. Fishel, R., Lescoe, M., Rao, M.R.S., Copeland, N.J., Jenkins, N.A., Garber, J., Kane, M., and Kolodner, R. 1993. The human mutator gene homolog *MSH2* and its association with hereditary nonpolyposis colon cancer. Cell 7:1027-1038.

5

8. Green, S., Issemann, I., and Sheer, E. 1988. A versatile in vivo eucaryotic expression vector for protein engineering. Nuc. Acid Res. 16:369.

10

9. Hamilton, S.R., Liu, B., Parsons, R., E., Papadopoulos, N., Jen, J., Powell, S.M., Krush, A.J., Berk, T., Cohen, Z., tetu, B., Kinzler, K.W., and Vogelstein, B. 1995. The molecular basis of Turcot's syndrome. N. Eng. J. Med. 332:839-847.

·Į:

15

 Holmes, J., Clark, S., and Modrich, P. Strand specific mismatch correction in nuclear extracts of human and *Drosophila melanogaster* cell lines. (1990). Proc. Natl. Acad. Sci. USA 87:5837-5841.

20

11. Leach, F.S., Nicolaides, N.C, Papadopoulos, N., Liu, B., Jen, J., Parsons, R., Peltomaki, P., Sistonen, P., Aaltonen, L.A., Nystrom-Lahti, M., Guan, X.Y., Zhang, J., Meltzer, P.S., Yu, J.W., Kao, F.T., Chen, D.J., Cerosaletti, K.M., Fournier, R.E.K., Todd, S., Lewis, T., Leach R.J., Naylor, S.L., Weissenbach, J., Mecklin, J.P., Jarvinen, J.A., Petersen, G.M., Hamilton, S.R., Green, J., Jass, J., Watson, P., Lynch, H.T., Trent, J.M., de la Chapelle, A., Kinzler, K.W., and Vogelstein, B. 1993. Mutations of a mutS homolog in hereditary non-polyposis colorectal cancer. Cell 75:1215-1225.

25

12. Li, G.-M., and Modrich, P. 1995. Restoration of mismatch repair to nuclear extracts of H6 colorectal tumor cells by a heterodimer of human mutL homologs. Proc. Natl. Acad. Sci. USA 92:1950-1954.

- 13. Liu, B., Parsons, R., Papadopoulos, N., Nicolaides, N.C., Lynch, H.T., Watson, P., Jass, J.R., Dunlop, M., Wyllie, A., Peltomaki, P., de la Chapelle, A., Hamilton, S.R., Vogelstein, B., and Kinzler, K.W. 1996. Analysis of mismatch repair genes in hereditary non-polyposis colorectal cancer patients. Nat. Med. 2:169-174.
- 14. Modrich, P. 1994. Mismatch repair, genetic stability, and cancer. Science 266:1959-1960.
- 15. Nicolaides, N.C., Gualdi, R., Casadevall, C., Manzella, L., and Calabretta, B. 1991. Positive autoregulation of *c-myb* expression via MYB binding in the 5' flanking region of the human *c-myb* gene. Mol. Cell. Biol. 11:6166-6176.
- 16. Nicolaides, N.C., Correa, I., Casadevall, C., Travali, S., Soprano, K.J., and Calabretta, B. 1992. The Jun family members, c-JUN and JUND, transactivate the human *c-myb* promoter via an Ap1 like element. J. Biol. Chem. 267, 19665-19672.
- 17. Nicolaides, N.C., Papadopoulos, N., Liu, B., Wei, Y.F., Carter, K.C., Ruben, S.M., Rosen, C.A., Haseltine, W.A., Fleischmann, R.D., Fraser, C.M., Adams, M.D., Venter, C.J., Dunlop, M.G., Hamilton, S.R., Petersen, G.M., de la Chapelle, A., Vogelstein, B., and kinzler, K.W. 1994.
 Mutations of two PMS homologs in hereditary nonpolyposis colon cancer.
 Nature 371: 75-80.
 - 18. Nicolaides N.C., Kinzler, K.W., and Vogelstein, B. 1995. Analysis of the 5' region of PMS2 reveals heterogenous transcripts and a novel overlapping gene. Genomics 29:329-334.

- 19. Nicolaides, N.C., Carter, K.C., Shell, B.K., Papadopoulos, N., Vogelstein, B., and Kinzler, K.W. 1995. Genomic organization of the human PMS2 gene family. Genomics 30:195-206.
- 5 20. Nicolaides, N.C., Palombo, F., Kinzler, K.W., Vogelstein, B., and Jiricny, J. 1996. Molecular cloning of the N-terminus of GTBP. Genomics 31:395-397.
- 21. Palombo, F., Hughes, M., Jiricny, J., Truong, O., Hsuan, J. 1994.
 Mismatch repair and cancer. Nature 36:417.
 - 22. Palombo, F., Gallinari, P., Iaccarino, I., Lettieri, T., Hughes, M.A., Truong, O., Hsuan, J.J., and Jiricny, J. 1995. GTBP, a 160-kilodalton protein essential for mismatch-binding activity in human cells. Science 268:1912-1914.
 - 23. Pang, Q., Prolla, T.A., and Liskay, R.M. 1997. Functional domains of the *Saccharomyces cerevisiae* Mlh1p and Pms1p DNA mismatch repair proteins and their relevance to human hereditary nonpolyposis colorectal cancer-associated mutations. Mol. Cell. Biol. 17:4465-4473.
 - 24. Papadopoulos, N., Nicolaides, N.C., Wei, Y.F., Carter, K.C., Ruben, S.M., Rosen, C.A., Haseltine, W.A., Fleischmann, R.D., Fraser, C.M., Adams, M.D., Venter, C.J., Dunlop, M.G., Hamilton, S.R., Petersen, G.M., de la Chapelle, A., Vogelstein, B., and kinzler, K.W. 1994. Mutation of a mutL homolog is associated with hereditary colon cancer. Science 263:1625-1629.
- 25. Parsons, R., Li, G.M., Longley, M.J., Fang, W.H., Papadopolous, N.,

 Jen, J., de la Chapelle, A., Kinzler, K.W., Vogelstein, B., and Modrich, P.

20

- 1993. Hypermutability and mismatch repair deficiency in RER⁺ tumor cells. Cell 75:1227-1236.
- 26. Parsons, R., Li, G.M., Longley, M., Modrich, P., Liu, B., Berk, T., Hamilton, S.R., Kinzler, K.W., and Vogelstein, B. 1995. Mismatch repair deficiency in phenotypically normal human cells. Science 268:738-740.
 - 27. Perucho, M. 1996. Cancer of the microsattelite mutator phenotype. Biol Chem. 377:675-684.
 - 28. Prolla, T.A, Pang, Q., Alani, E., Kolodner, R.A., and Liskay, R.M. 1994. MLH1, PMS1, and MSH2 Interaction during the initiation of DNA mismatch repair in yeast. Science 264:1091-1093.
- 29. Strand, M., Prolla, T.A., Liskay, R.M., and Petes, T.D. Destabilization of tracts of simple repetitive DNA in yeast by mutations affecting DNA mismatch repair. 1993. Nature 365:274-276.
- 30. Su, S.S., Lahue, R.S., Au, K.G., and Modrich, P. 1988. Mispair specificity of methyl directed DNA mismatch corrections in vitro. J. Biol. Chem. 263:6829-6835.

SEQUENCE LISTING

(1) GENERAL INFORMATION

- (i) APPLICANT:
 - (A) NAME: THE JOHNS HOPKINS UNIVERSITY
 - (B) STREET: 720 Rutland Avenue,
 - (C) CITY: Baltimore, Maryland
 - (E) COUNTRY: USA
 - (F) POSTAL CODE: 21205
- (ii) TITLE OF THE INVENTION: A Method for Generating Hypermutable Organisms
- (iii) NUMBER OF SEQUENCES: 2
- (iv) CORRESPONDENCE ADDRESS:
 John H. Woodley
 Sim & McBurney
 330 University Avenue, 6th Floor
 Toronto, Canada M5G 1R7
- (v) COMPUTER READABLE FORM:
 - (A) COMPUTER: IBM PC compatible
 - (B) OPERATING SYSTEM: PC-DOS/MS-DOS
 - (C) SOFTWARE: PatentIn Release #1.0, Version #1.25 (EPO)

CH

- (vi) CURRENT APPLICATION DATA:
 - (A) APPLICATION NUMBER: 2,240,609
 - (B) FILING DATE: August 11, 1998
 - (C) CLASSIFICATION:
- (vii) PRIOR APPLICATION DATA:
 - (A) APPLICATION NUMBER : US 09/059,461
 - (B) FILING DATE: April 14, 1998
 - (C) CLASSIFICATION:
- (viii) PATENT AGENT INFORMATION:
 - (A) NAME: John H. Woodley
 - (B) REFERENCE NUMBER: JHW 19-1126
- (2) INFORMATION FOR SEQ. ID NO. 1.
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 2771 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY : linear
 - (ii) MOLECULAR TYPE: DNA
- (xi) SEQUENCE DESCRIPTION: SEQ. ID. NO. 1

cga	ıggcg	gat	cggg	geget	.gc a	IECC	acg	gag	cga	gct	gag	agc	tcg	agt	aca	51
gaa	cct	gct	aag	gee	ato	aaa	cct	att	gat	cgg	aag	j tca	gto	ca	t cag	99
att	tgc	tct	999	cag	gtg	gta	ctg	agt	cta	agc	act	gcg	gta	aag	g gag	147
tta	gta	gaa	aac	agt	ctg	gat	gct	ggt	gcc	act	aat	att	gat	cta	a aag	195
ctt	aag	gac	tat	gga	gtg	gat	ctt	att	gaa	gtt	tca	gac	aat	gga	tgt	243
9 99	gta	gaa	gaa	gaa	aac	ttc	gaa	ggc	tta	act	ctg	aaa	cat	cac	acá	291
tct	aag	att	caa	gag	ttt	gcc	gac	cta	act	cag	gtt	gaa	act	ttt	ggc	339
ttt	cgg	999	gaa	gct	ctg	agc	tca	ctt	tgt	gca	ctg	agc	gat	gto	acc	387
att	tct	acc	tgc	cac	gca	tcg	gcg	aag	gtt	gga	act	cga	ctg	atg	ttt	435
gat	cac	aat	999	aaa	att	atc	cag	aaa	acc	ccc	tac	ccc	cgc	ccc	aga	483
3 99	acc	aca	gtc	agc	gtg	cag	cag	tta	ttt	tcc	aca	cta	cct	gtg	cgc	531
cat	aag	gaa	ttt	caa	agg	aat	att	aag	aag	gag	tat	gcc	aaa	atg	gtc	579 _p
cag	gtc	tta	cat	gca	tac	tgt	atc	att	tca	gca	ggc	atc	cgt	gta	agt	627
gc	acc	aat	cag	ctt	gga	caa	gga	aaa	cga	cag	cct	gtg	gta	tgc	aca	675
ggt	gga	agc	ccc	agc	ata	aag	gaa	aat	atc	ggc	tct	gtg	ttt	3 33	cag	723
ag	cag	ttg	caa	agc	ctc	att	cct	ttt	gtt	cag	ctg	ccc	cct	agt	gac	771
:cc	gtg	tgt	gaa	gag	tac	ggt	ttg	agc	tgt	tcg	gat	gct	ctg	cat	aat	819
tt	ttt	tac	atc	tca	ggt	ttc	att	tca	caa	tgc	acg	cat	gga	gtt	gga	867
ıgg	agt	tca	aca	gac	aga	cag	ttt	ttc	ttt	atc	aac	cgg	cgg	cct	tgt	915
jac	cca	gca	aag	gtc	tgc	aga	ctc	gtg	aat	gag	gtc	tac	cac	atg	tat	963
			cag											_		1011
jaa	tgc	gtt	gat	atc	aat	gtt	act	cca	gat	aaa	agg	caa	att	ttg	cta	1059
aa	gag	gaa	aag	ctt	ttg	ttg	gca	gtt	tta	aag	acc	tct	ttg	ata	gga	1107
tg	ttt	gat	agt	gat	gtc	aac	aag	cta	aat	gtc	agt	cag	cag	cca	ctg	1155
tg	gat	gtt	gaa	ggt	aac	tta .	ata	aaa	atg	cat	gca	gcg	gat	ttg	gaa	1203
ag	ccc	atg	gta.	gaa	aag	cag	gat	caa	tcc	cct	tca	tta	agg	act	gga	1251
			aaa													1299
tt	cgt	cac	aca	aca	gag	aac a	aag	cct	cac	agc	cca	aag	act	cca	gaa	1347

cca	aga	agg	agc	cct	cta	gga	cag	aaa	agg	ggt	atg	ctg	tct	tct	agc	1395
act	tca	ggt	gcc	atc	tct	gac	aaa	ggc	gtc	ctg	aga	cct	cag	aaa	gag	1443
gca	gtg	agt	tcc	agt	cac	gga	ccc	agt	gac	cct	acg	gac	aga	gcg	gag	1491
gtg	gag	aag	gac	tcg	999	cac	ggc	agc	act	tcc	gtg	gat	tct	gag	aaa	1539
ttc	agc	atc	cca	gac	acg	ggc	agt	cac	tgc	agc	agc	gag	tat	gcg	gcc	1587
agc	tcc	cca	999	gac	agg	ggc	tcg	cag	gaa	cat	gtg	gac	tct	cag	gag	1635
aaa	gcg	cct	gaa	act	gac	gac	tct	ttt	tca	gat	gtg	gac	tgc	cat	tca	1683
aac	cag	gaa	gat	acc	gga	tgt	aaa	ttt	cga	gtt	ttg	cct	cag	cca	act	1731
aat	ctc	gca	acc	cca	aac	aca	aag	cgt	ttt	aaa	aaa	gaa	gaa	att	ctt	1779
tcc	agt	tct	gac	att	tgt	caa	aag	tta	gta	aat	act	cag	gac	atg	tca	1827
gcc	tct	cag	gtt	gat	gta	gct	gtg	aaa	att	aat	aag	aaa	gtt	gtg	ccc	1875
ctg	gac	ttt	tct	atg	agt	tct	tta	gct	aaa	cga	ata	aag	cag	tta	cat	1923
cat	gaa	gca	cag	caa	agt	gaa	3 99	gaa	cag	aat	tac	agg	aag	ttt	agg	1971•
gca	aag	att	tgt	cct	gga	gaa	aat	caa	gca	gcc	gaa	gat	gaa	cta	aga : :	2019
aaa	gag	ata	agt	aaa	acg	atg	ttt	gca	gaa	atg	gaa	atc	att	ggt	cag ·	2067
ttt	aac	ctg	gga	ttt	ata	ata	acc	aaa	ctg	aat	gag	gat	atc	ttc	ata	2115
gtg	gac	cag	cat	gcc	acg	gac	gag	aag	tat	aac	ttc	gag	atg	ctg	cag	2163
cag	cac	acc	gtg	ctc	cag	999	cag	agg	ctc	ata	gca	cct	cag	act	ctc	2211
aac	tta	act	gct	gtt	aat	gaa	gct	gtt	ctg	ata	gaa	aat	ctg	gaa	ata	2259
ttt	aga	aag	aat	ggc	ttt	gat	ttt	gtt	atc	gat	gaa	aat	gct	cca	gtc	2307
act	gaa	agg	gct	aaa	ctg	att	tcc	ttg	cca	act	agt	aaa	aac	tgg	acc	2355
ttc	gga	ccc	cag	gac	gtc	gat	gaa	ctg	atc	ttc	atg	ctg	agc	gac	agc	2403
cct	999	gtc	atg	tgc	cgg	cct	tcc	cga	gtc	aag	cag	atg	ttt	gcc	tcc	2451
aga	gcc	tgc	cgg	aag	tcg	gtg	atg	att	9 99	act	gct	ctt	aac	aca	agc	2499
gag	atg	aag	aaa	ctg	atc	acc	cac	atg	333	gag	atg	gac	cac	ccc	tgg	2547
aac	tgt	ccc	cat	gga	agg	cca	acc	atg	aga	cac	atc	gcc	aac	ctg	ggt	2595
gtc	att	tct	cag	aac	tga	cegt	agt (cact	gtat	gg a	ataa	ttgg	t tti	tato	gcag	2650
															aacctg tcaaac	2710 2770 2771

(2) INFORMATION FOR SEQUENCE ID. NO. 2

- (i) SEQUENCE CHARACTERISCS:
- (A) LENGTH: 862 Amino Acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS : single
- (D) TOPOLOGY : linear
- (ii) MOLECULAR TYPE: Protein
- (xi) SEQUENCE DESCRIPTION : SEQ. ID. NO. 2

Met Glu Arg Ala Glu Ser Ser Ser Thr Glu Pro Ala Lys Ala Ile Lys 10 Pro Ile Asp Arg Lys Ser Val His Gln Ile Cys Ser Gly Gln Val Val 25 Leu Ser Leu Ser Thr Ala Val Lys Glu Leu Val Glu Asn Ser Leu Asp 40 Ala Gly Ala Thr Asn Ile Asp Leu Lys Leu Lys Asp Tyr Gly Val Asp 55 Leu Ile Glu Val Ser Asp Asn Gly Cys Gly Val Glu Glu Asn Phe 75 70 Glu Gly Leu Thr Leu Lys His His Thr Ser Lys Ile Gln Glu Phe Ala 85 Asp Leu Thr Gln Val Glu Thr Phe Gly Phe Arg Gly Glu Ala Leu Ser 110 105 100 Ser Leu Cys Ala Leu Ser Asp Val Thr Ile Ser Thr Cys His Ala Ser 125 120 115 Ala Lys Val Gly Thr Arg Leu Met Phe Asp His Asn Gly Lys Ile Ile 140 135 130 Gln Lys Thr Pro Tyr Pro Arg Pro Arg Gly Thr Thr Val Ser Val Gln 150 155 Gln Leu Phe Ser Thr Leu Pro Val Arg His Lys Glu Phe Gln Arg Asn 170 165 Ile Lys Lys Glu Tyr Ala Lys Met Val Gln Val Leu His Ala Tyr Cys 185 Ile Ile Ser Ala Gly Ile Arg Val Ser Cys Thr Asn Gln Leu Gly Gln 200 Gly Lys Arg Gln Pro Val Val Cys Thr Gly Gly Ser Pro Ser Ile Lys 215 220 210 Glu Asn Ile Gly Ser Val Phe Gly Gln Lys Gln Leu Gln Ser Leu Ile 235 230 Pro Phe Val Gln Leu Pro Pro Ser Asp Ser Val Cys Glu Glu Tyr Gly 250 245 Leu Ser Cys Ser Asp Ala Leu His Asn Leu Phe Tyr Ile Ser Gly Phe 265 260 Ile Ser Gln Cys Thr His Gly Val Gly Arg Ser Ser Thr Asp Arg Gln 280 285 Phe Phe Phe Ile Asn Arg Arg Pro Cys Asp Pro Ala Lys Val Cys Arg 295 300 Leu Val Asn Glu Val Tyr His Met Tyr Asn Arg His Gln Tyr Pro Phe 315 310 Val Val Leu Asn Ile Ser Val Asp Ser Glu Cys Val Asp Ile Asn Val

Thr Pro Asp Lys Arg Gln Ile Leu Leu Gln Glu Glu Lys Leu Leu Leu 345 Ala Val Leu Lys Thr Ser Leu Ile Gly Met Phe Asp Ser Asp Val Asn 360 Lys Leu Asn Val Ser Gln Gln Pro Leu Leu Asp Val Glu Gly Asn Leu 375 380 Ile Lys Met His Ala Ala Asp Leu Glu Lys Pro Met Val Glu Lys Gln 390 395 Asp Gln Ser Pro Ser Leu Arg Thr Gly Glu Glu Lys Lys Asp Val Ser 410 405 Ile Ser Arg Leu Arg Glu Ala Phe Ser Leu Arg His Thr Thr Glu Asn 425 420 Lys Pro His Ser Pro Lys Thr Pro Glu Pro Arg Arg Ser Pro Leu Gly 440 445 Gln Lys Arg Gly Met Leu Ser Ser Ser Thr Ser Gly Ala Ile Ser Asp 455 460 Lys Gly Val Leu Arg Pro Gln Lys Glu Ala Val Ser Ser His Gly 470 475 Pro Ser Asp Pro Thr Asp Arg Ala Glu Val Glu Lys Asp Ser Gly His 490 485 Gly Ser Thr Ser Val Asp Ser Glu Gly Phe Ser Ile Pro Asp Thr Gly 505 500 Ser His Cys Ser Ser Glu Tyr Ala Ala Ser Ser Pro Gly Asp Arg Gly 520 525 515 Ser Gln Glu His Val Asp Ser Gln Glu Lys Ala Pro Glu Thr Asp Asp 535 540 Ser Phe Ser Asp Val Asp Cys His Ser Asn Gln Glu Asp Thr Gly Cys 555 550 Lys Phe Arg Val Leu Pro Gln Pro Thr Asn Leu Ala Thr Pro Asn Thr 570 Lys Arg Phe Lys Lys Glu Glu Ile Leu Ser Ser Ser Asp Ile Cys Gln 585 580 Lys Leu Val Asn Thr Gln Asp Met Ser Ala Ser Gln Val Asp Val Ala 600 Val Lys Ile Asn Lys Lys Val Val Pro Leu Asp Phe Ser Met Ser Ser 620 615 Leu Ala Lys Arg Ile Lys Gln Leu His His Glu Ala Gln Gln Ser Glu 630 635 Gly Glu Gln Asn Tyr Arg Lys Phe Arg Ala Lys Ile Cys Pro Gly Glu 650 645 Asn Gln Ala Ala Glu Asp Glu Leu Arg Lys Glu Ile Ser Lys Thr Met 665 Phe Ala Glu Met Glu Ile Ile Gly Gln Phe Asn Leu Gly Phe Ile Ile 680 Thr Lys Leu Asn Glu Asp Ile Phe Ile Val Asp Gln His Ala Thr Asp 700 695 Glu Lys Tyr Asn Phe Glu Met Leu Gln Gln His Thr Val Leu Gln Gly 715 710 Gln Arg Leu Ile Ala Pro Gln Thr Leu Asn Leu Thr Ala Val Asn Glu 730 Ala Val Leu Ile Glu Asn Leu Glu Ile Phe Arg Lys Asn Gly Phe Asp 745 Phe Val Ile Asp Glu Asn Ala Pro Val Thr Glu Arg Ala Lys Leu Ile 760 Ser Leu Pro Thr Ser Lys Asn Trp Thr Phe Gly Pro Gln Asp Val Asp 775 Glu Leu Ile Phe Met Leu Ser Asp Ser Pro Gly Val Met Cys Arg Pro

**

3) · 15

ş

785					790					795					800
				805					810				_	015	Val
			820					825					020	Ile	Thr
		835					840					845	Gly	Arg	Pro
Thr	Met 850	Arg	His	Ile	Ala	Asn 855	Leu	Gly	Val	Ile	Ser 860	Gln	Asn		

WE CLAIM:

A method for making a hypermutable cell, comprising the step of:						
introducing into a mammalian cell a polynucleotide comprising						
dominant negative allele of a mismatch repair gene, whereby the cell						
becomes hypermutable.						

- 2. The method of claim 1 wherein the polynucleotide is introduced by transfection of a suspension of cells in vitro.
- 3. The method of claim 1 wherein the mismatch repair gene is PMS2.
- 4. The method of claim 1 wherein the mismatch repair gene is human PMS2.
- 5. The method of claim 1 wherein the mismatch repair gene is human MLH1.
- 6. The method of claim 1 wherein the mismatch repair gene is human PMSI.
- 7. The method of claim 1 wherein the mismatch repair gene is human MSH2.
- 8. The method of claim 4 wherein the allele comprises a truncation mutation.
- 9. The method of claim 4 wherein the allele comprises a truncation mutation at codon 134 as shown in SEQ ID NO: 1.
- 10. The method of claim 9 wherein the truncation mutation is a thymidine at nucleotide 424 of wild-type PMS2 as shown in SEQ ID NO: 1.
- 11. The method of claim 1 wherein the polynucleotide is introduced into a fertilized egg of an animal.
- 12. The method of claim 11 wherein the fertilized egg is subsequently implanted into a pseudo-pregnant female whereby the fertilized egg develops into a mature transgenic animal.
 - 13. The method of claim 12 wherein the mismatch repair gene is PMS2.
- 14. The method of claim 12 wherein the mismatch repair gene is human PMS2.
- 15. The method of claim 12 wherein the mismatch repair gene is human MLH1.

10

5

15

20

25

16.	The me	thod of	claim 12	wherein	the 1	mismatch	repair	gene is	human
PM	S1.								
					_	_			

- 17. The method of claim 12 wherein the mismatch repair gene is human MSH2.
- 18. The method of claim 14 wherein the allele comprises a truncation mutation.
 - 19. The method of claim 14 wherein the allele comprises a truncation mutation at codon 134 as shown in SEQ ID NO: 1.
 - 20. The method of claim 19 wherein the truncation mutation is a thymidine at nucleotide 424 of wild-type PMS2 as shown in SEQ ID NO: 1.
 - 21. A homogeneous composition of cultured, hypermutable, mammalian cells which comprise a dominant negative allele of a mismatch repair gene.
 - 22. The isolated hypermutable cell of claim 21 wherein the mismatch repair gene is *PMS2*.
 - 23. The isolated hypermutable cell of claim 21 wherein the mismatch repair gene is human *PMS2*.
 - 24. The isolated hypermutable cell of claim 21 wherein the mismatch repair gene is human MLH1.
 - 25. The isolated hypermutable cell of claim 21 wherein the mismatch repair gene is human *PMSI*.
 - 26. The isolated hypermutable cell of claim 21 wherein the mismatch repair gene is human MSH2.
 - 27. The isolated hypermutable cell of claim 21 wherein the cells express a protein consisting of the first 133 amino acids of hPMS2.
 - 28. A hypermutable transgenic mammal wherein at least 50% of the cells of the mammal comprise a dominant negative allele of a mismatch repair gene.
 - 29. The hypermutable transgenic animal of claim 28 comprising a protein which consists of the first 133 amino acids of human PMS2.
 - 30. A method for generating a mutation in a gene of interest comprising the steps of:

10

5

15

20

34)

growing a mammalian cell comprising the gene of interest and a dominant negative allele of a mismatch repair gene, wherein the cell is hypermutable;

testing the cell to determine whether the gene of interest harbors a mutation.

- 31. The method of claim 30 wherein the step of testing comprises analyzing a nucleotide sequence of the gene of interest.
- 32. The method of claim 30 wherein the step of testing comprises analyzing mRNA transcribed from the gene of interest.
- 33. The method of claim 30 wherein the step of testing comprises analyzing a protein encoded by the gene of interest.
- 34. The method of claim 30 wherein the step of testing comprises analyzing the phenotype of the gene of interest.
- 35. The method of claim 30 wherein the mammalian cell is made by the process of introducing a polynucleotide comprising a dominant negative allele of a mismatch repair gene into a mammalian cell, whereby the cell becomes hypermutable.
- 36. The method of claim 35 wherein the step of testing comprises analyzing a nucleotide sequence of the gene of interest.
- 37. The method of claim 35 wherein the step of testing comprises analyzing mRNA transcribed from the gene of interest.
- 38. The method of claim 35 wherein the step of testing comprises analyzing a protein encoded by the gene of interest.
- 39. The method of claim 35 wherein the step of testing comprises analyzing the phenotype of the gene of interest.
- 40. A method for generating a mutation in a gene of interest comprising the steps of:

growing a mammal comprising the gene of interest and a polynucleotide encoding a dominant negative allele of a mismatch repair gene;

10

5

15

20

25

	testing the mammal to determine whether the gene of interest harbors
a m	utation.
41.	The method of claim 40 wherein the step of testing comprises analyzing
a m	cleotide sequence of the gene of interest.

5

10

42. The method of claim 40 wherein the step of testing comprises analyzing mRNA transcribed from the gene of interest.

- 43. The method of claim 40 wherein the step of testing comprises analyzing a protein encoded by the gene of interest.
- 44. The method of claim 40 wherein the step of testing comprises analyzing the phenotype of the gene of interest.

45. The method of claim 40 wherein the mammal is made by the process of introducing a polynucleotide comprising a dominant negative allele of a mismatch repair gene into a mammal, whereby the mammal becomes

hypermutable.

15

- 46. The method of claim 45 wherein the step of testing comprises analyzing a nucleotide sequence of the gene of interest.
- 47. The method of claim 45 wherein the step of testing comprises analyzing mRNA transcribed from the gene of interest.

48. The method of claim 45 wherein the step of testing comprises analyzing a protein encoded by the gene of interest.

a protein encoded by the gene of interest.

- 49. The method of claim 45 wherein the step of testing comprises analyzing the phenotype of the gene of interest.
- 50. A hypermutable transgenic mammal made by the method of claim 45.
- 51. The transgenic mammal of claim 50 wherein the mammal is a primate.
- 52. The transgenic mammal of claim 50 wherein the mismatch repair gene is *PMS2*.
- 53. The transgenic mammal of claim 50 wherein the mismatch repair gene is human PMS2.
- 54. The transgenic mammal of claim 50 wherein the mismatch repair gene is human MLH1.

25

:7

- 55. The transgenic mammal of claim 50 wherein the mismatch repair gene is human *PMSI*.
- 56. The transgenic mammal of claim 50 wherein the mismatch repair gene is buman MSH2.
- 57. The transgenic mammal of claim 50 wherein the allele comprises a truncation mutation.
- 58. The transgenic mammal of claim 50 wherein the allele comprises a truncation mutation at codon 134 as shown in SEQ ID NO: 1.
- 59. The transgenic mammal of claim 58 wherein the truncation mutation is a thymidine at nucleotide 424 of wild-type *PMS2* as shown in SEQ ID NO: 1.

- 43 -

A METHOD FOR GENERATING HYPERMUTABLE ORGANISMS

ABSTRACT

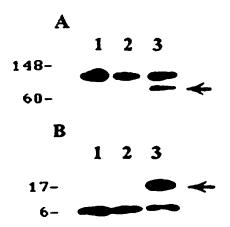
5

Dominant negative alleles of human mismatch repair genes can be used to generate hypermutable cells and organisms. By introducing these genes into cells and transgenic animals, new cell lines and animal varieties with novel and useful properties can be prepared more efficiently than by relying on the natural rate of mutation.

BNSDOCID: <CA 2240609A1 + >

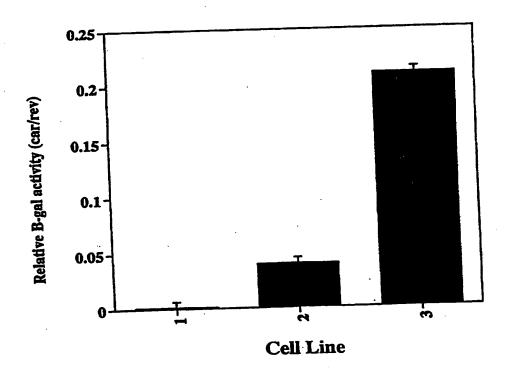
SV40 polyA	SV40 polyA	SV40 polyA	SV40 polyA	SV40 polyA
polylinker	hPMS2wt (862 aa)	PMS134(134 en.)	(CA)29 ATG B-galactusidase	(CA) ₂₇ ATG B Galactusidase
SV40 Pro	SV40 Pro	SV40 Pro	CMV Pro	CMV Pro
A FMS2-NOT	PMS2-WT	PMS2-134	B pcarof	pCAR-IF

BNSDOCID: <CA 2240609A1 | >



Nicolaides et.al. Figure 24





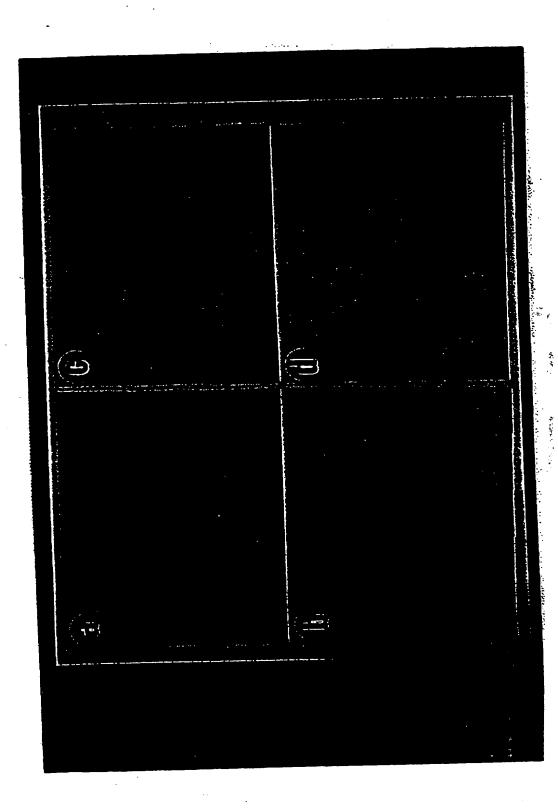
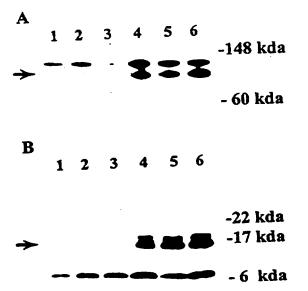
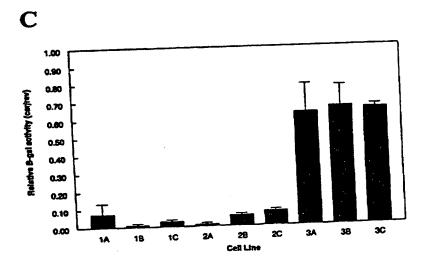


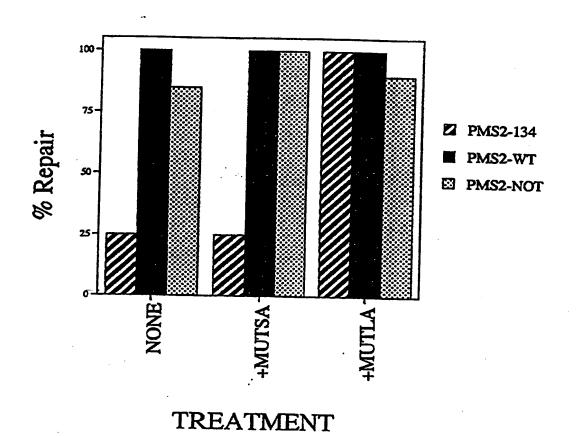
Fig3

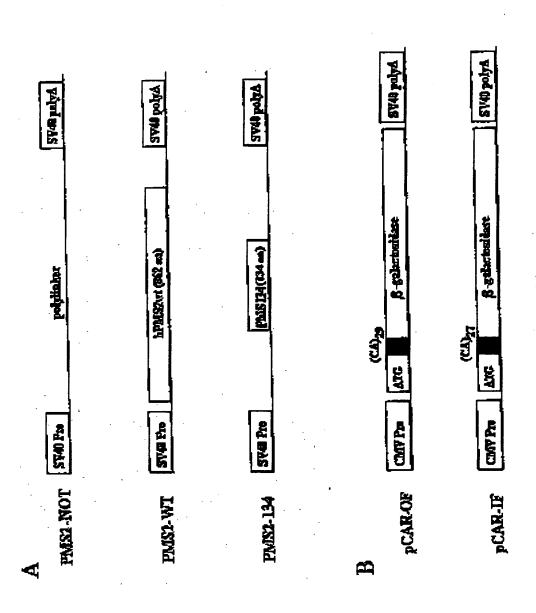




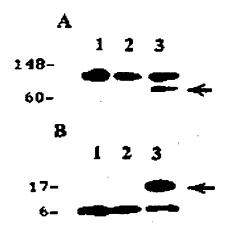
L	αPMS2 C-term αMLH1	В	C	αPMS2
	+ + + MLH1* +	+ MLH1 + + - PMS134* 1 2 3		N-term++-PMS2WT* -+++MLH1* ++
48-		148-	148-	● ● -PMS2W7
50-	-PMS135/MLH1	60-	60-	-MLH1
12-		42-	42-	
30-		30-	30-	
22-		22-	22-	
17- 6-		17- 6-	17- 6-	PMS134

Nicolaides et.al. Figure 6



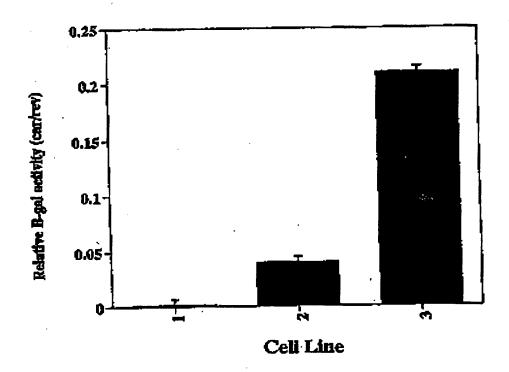


BNSDOCID: <CA 2240609A1TI >



Nicolaides et al. Figure 24

 \mathbf{C}



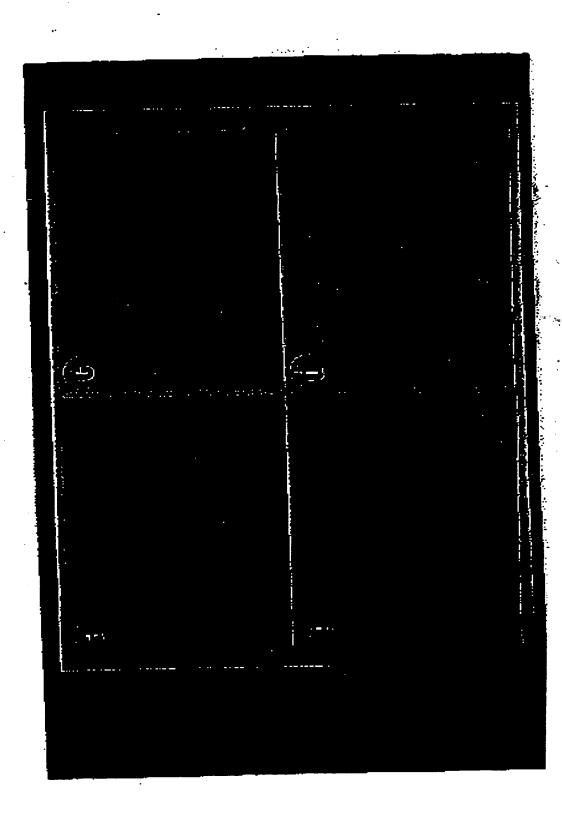
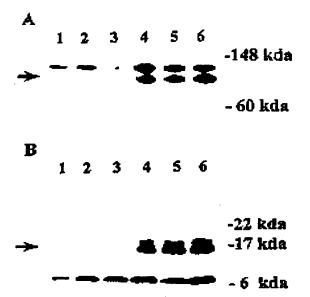


Fig3



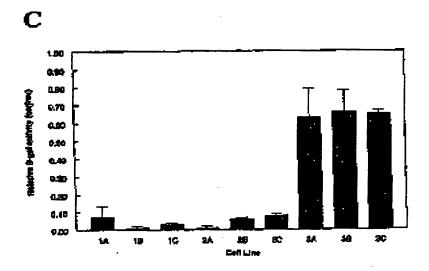
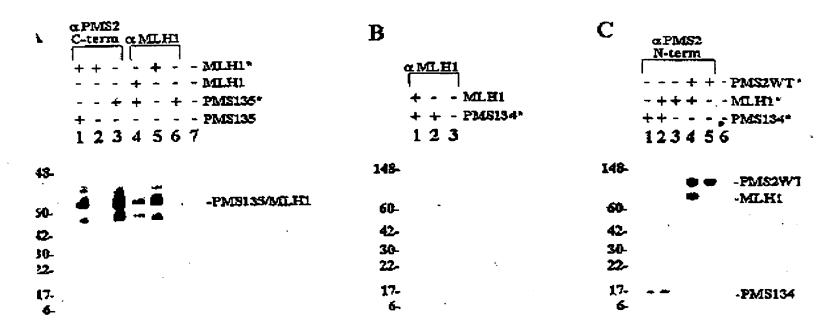
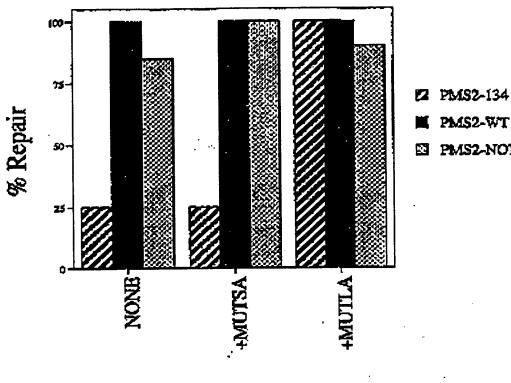


Fig 4

.;



Nicolaides et.al. Pigure 6



PMS2-NOT